SPERRY: I interjected, Bob, because I have never been entirely satisfied with the materialistic, or behavioristic thesis that a complete explanation of brain function is possible in purely objective terms with no reference to subjective experience, i.e. that in our scientific analysis we can confidently, and advantageously, disregard the subjective properties of the brain process. I don't mean that we should abandon the objective approach nor repeat the errors of the earlier introspective studies. It's just that I find it difficult to believe that the sensations and other subjective experiences serve no function, no operational value, no place in our working models of the brain, blackboard, or otherwise. The materialistic dialectic advanced by Bechterev, Pavlan, Watson and others is still not completely foolproof; there remains a weak link, deep centrally between input and output, perhaps about where the impulses hit those positive and negative (self) reinforcement centers that Dr. Olds and Dr. Lilly and others are mapping. Perhaps it is true that the 'pain' and the 'purple glow' effects of the selfactivated electrode can be ignored in our explanatory neural models, but in my book the point is not satisfactorily settled.

p. 464, 1. 17.

With reference to the conditioned response, specifically, I suspect that a good case can be made for the contention that in most or all conditioning, the stimuli used, in order to be

effective, must register as sensation or feeling in the neural stream of subjective awareness. In other words the animal must feel the pain from the shock, must smell or taste the meat juice, and so on. Most of us proceed on the familiar and generally accepted thesis that these subjective phenomena play no part in the causal sequence. Our picture of how the brain excitations are generated and transmitted has no place where a sensation, the subjective property, i.e., could get into the act.

On the other side, is the argument that the pain per se, and subjective awareness in general, emerged in central nervous evolution and must have been maintained and differentiated because it does serve a real use, i.e. becaus of its operational value in the causal sequence. On these terms any physiological model of the conditioned response that fails to include the subjective properties is bound to end up with some kind of gap in the chain of cerebral events. My point is merely that we may have gone a bit far in the past several decades with our behavioristic postulate that the science of neurophysiology can confidently assume a full understanding of cerebral events is possible in theory from a purely objective approach that excludes subjective awareness.

p. 464, 1. 29

SPERRY: Delete.

p. 464, 1. 31

SPERRY: Delete (delete whole passage 1. 27-32?)

center of the natural reflex. Dr. Liddell has mentioned that he thinks nutly adiquals brevall forward. no gold brain theory has been suggested to replace Pavlov's, or at least, nothing adequate. At most we have had some vague thinking about the possible nature and location of the new connections laid down between we conditioned stimulus and response centers; to the effect that they must at least be more complex than the direct transcortical linkages proposed by Pavlov, that probably they involve subcortical centers, and that possibly some may be kind of reverberatory activity is important in the earlier stages (L). Some years ago (I stuck my neck out to suggest that the conditioned reflex does not necessarily depend upon the establishment of any type traces or connections between these in bearing centers, but that the neural was concerned to be association between conditioned stimulus and response &s a purely functional (M) but this one sames effected in quite a different way -- which is probably too long a story to go into now. A Briefly, the suggestion is that the engrams support a paragazionen the arousal of An 'expectancy' of what is coming in the conditioning situation. In instrumental conditioning, this leads to the establishment. The excitations of the conditioning not by lessoner traces but by an action of a preparational facilatory set. stimulus them, are routed into the new pathways of the CR to the existing on the newel circuits pattern of facilitation and inhibition imposed by the transient facilatory the new set. Within this scheme there is no need to search for (connections' established between conditioned stimulus and response centers, as has been almost universally assumed, because there are none there. There is only an evanescent opening or facilitation of these (preexistent) pathways an within the conditioning situation. The permanent traces that lead to arousal of

Enclosed are further corrections and references making mine complete through page 440. I will forward the remainder very shortly. RW spery p. 150 2.6 SPERRY: Delite this whole p

p. 150, line 6: Delete this whole passage: line 6 - 15 and line 18-19.

P. 163, line 15:

SPERRY: I don't want to change the subject, but--

p. 163, line 18:

SPERRY: Would you say, Dr. Liddell, that there has been any novel as significant development in brain theory of conditioning since Pavolv's time?

p. 167, line 17: on thinking back and this point, I'm concerned that we may be remained leave in impression that Pavlov's theory has been the accepted and prevailing physiological explanation of the conditioned response up to the time of the implanted electrode studies.

p. 167, line 22:

SPERRY: I would have guessed that his conceptions of irradiating excitation and inhibition have been considered quite inadequate for at least twenty years.

p. 167, line 27: delete lines 27 - 29.

p. 176, line 17:

SPERRY: Has anyone tried to establish these low-level, visceral-visceral conditioned reflexes in decorticate animals?

p. 152, line 29

SPERRY: There are reports of spinal conditioning in the frog by Francisket Rensch's laboratory that seem to be pretty good In chronic spinal fraga they pair a strong and a weak cutaneous stimulus. The stronger stimulus, to the flank, say, dominates during the conditioning trials and inhibits the response that otherwise would occur to the weaker stimulus, say to the forelimb. (This differs from the usual procedure in which an indifferent or neutral stimulus is used as the conditioning stimulus.) After several hundred pairings, application of the weaker stimulus attende evokes the flankwiping reflex of the hind leg instead of the normal forelimb response. The conditioned responses show an early labile phase with a chemical-like waning and a more lasting stable phase after many pairings up to 1500 or more applied over 75 to 100 days. Rensch and Fransisket appear to have answered the objection that they are dealing merely with temporary heightened excitability and irradiation phenomena. The spinal sections at the base of the medulla were confirmed histologically. For some reason they have success with waterfrogs but not with some other species.

Line 35

SPERRY: Before we leave Pavlov, there is one other minor point. I recall that in Pavlov's lectures he inferred from the cutaneous and auditory conditioning observations a precise topographic mapping of these sensory fields in the cortex. Is there any possibility that this antedated the direct anatomical and physiological mapping. Does anybody know?

P. 153

Line 8 SPERRY: Do you know whether this preceded the more direct anatomical demonstration of the topographical detail?

Delete Lines 11 and 12.

p. 235

Line 22 SPERRY: If it should be true, as seems likely, that your central stimulation here, in order to be effective has to evoke a sensation, auditory, visual, or whatever, depending on the area you are in; then it is possible that, with a peripheral stimulus, one would have better control over the exact nature and even the intensity of this evoked sensation than is possible with this method.

- p. 185, Delete lines 15 27.
- p. 196, Delete lines 14-18.

p. 208, line 2:

SPERRY: This is the first time I have ever been called a neo-Pavlovian. (Laughter)

p. 208, line 6:

was referring to some work that we have the first to test the possible functional role of intracortical transmission as postulated in Pavlov's scheme. Briefly the experiments consisted of placing in the cortex numerous intersecting knife cuts, inserts of tantalum wire, or dielectric plates of mica in such a way as to black, or at least to grossly distort, the patterning of any tangential intracortical transmission. Although we were not aiming particularly at Pavlov's concept of irradiation, I think that the absence of any significant functional disorganization as a result of these measures, to our mind at least to provide the first transmission. We never emphasized this specific point, because, I had supposed that the idea of cortical irradiation had already been pretty much abandoned for other reasons.

Now that we are

I too have had the feeling that in approaching the new implanted

electrode work, it would be helpful, particularly for those of us not working
on conditioning, to bever as the feeling that in approaching the new implanted

phenomena that have seemed particularly relevant to brain theory. I can

p. 208, line 23 (cont'd)

start by mentioning a few that come to mind and probably others here can add to the list.

First, I think we have not yet mentioned conditioning under curare, the process perfectly well in the absence of any motor response. The motor response has been eliminated, I believe, by crushing of the nerves, and further, by local anesthetization of the motor cortex, which, of course, blots out the-[Doty says "No."] Well, you correct me on that.

p. 208, line 35:

SPERRY: In any case, there have been experiments in which ablation of the motor cortex has failed to abolish learned responses. This should eliminate as a necessary part of the brain mechanism, the dominant focus of attraction in the cortex that according to Pavlov was supposed to funnel the conditioning stimulus excitation down into the reflex motor pathways.

brain theory. We estimate the strength of the conditioned reflex in part
by its duration and the difficulty of extinguishing it. It has been shown

(F) that with an equal number of trials in the conditioning procedure,
aperiodic, rather than regular reinforcement with a produces a

CR that is much more difficult to extinguish than in is the CR formed with

a fewery trial.

regular reinforcement. According to most of the physiological explanations,
want expect to
including that of Parko, you see get a much stronger connection between
the brain centers involved if you reinforcement with the constituted structure.

The effect of alternate reinforcement and extinction has already been mentioned. If you are a therefore, then extinguish it thoroughly the reestablish it, and then extinguish again, we have

p. 209, line 22 (cont'd)

first after so many repetitions of this, that the conditional can be reestablished with a single trial This too has important implications for the underlying brain process.

Just the per phenomenon of the delayed is interesting. Generally, the signal stimulus precedes the natural reflex by a short period, from, say, a half second, which is about optimum for the eyelid response in man, on up depending on the situation and species. It is possible to set this signal stimulus as far forward as a half hour or maybe even longer. This poses some nice physiological problems as to the nature of the trace effects of the stimulus and how they operate at the end of the delay. The animal somehow has to hold the effect and to respond at the proper time. It is similar or very close to the so-called "timing behavior" that Galambos and Morgan refer to in their forthcoming chapter in the Handbook.

in mind in formulating a brain theory. That is, that the signal stimulus has to precede the reflex that you are going to tie it to. I wouldn't be surprised if there exists in the vertebrate brain some kind of a built-in tendency to perceive what-follows-what, what-leads-to-what. Appropriate control we in this respect is fundamental not only to our cause-effect thinking, but to the behavior of all vertebrates from the lowest forms to the highest.

In particular, we should keep in mind examples of rapid conditioning.

In conditioning, we have the problem of the acquisition of the conditioned

another uncerated with
reflex, and then as the problem that its prolonged retention. Generally

It is the conditioning the two because in most laboratory conditioning the
time span is great enough so that the acquisition proceeds in part on the
basis of traces retained from earlier conditioning trials. However, I it

p. 210 (cont'd)

is important to remember that a great deal of rapid conditioning and learning can and does occur in a single trial or two, not only in the laboratory but also under natural conditions. In working with human subjects, particularly, it is not difficult to establish a conditioned all within reflex and then to extinguish it, in a twenty-minute session. A lot of learning and conditioning is so rapid that you don't have to deal The establishment of the temporary connection becomes a with the permanent-type memory traces at all. It becomes a problem primarily of dynamic reorganization. This is what establishes the new becomes In time the reorganization is consolidated asting Time For convenience in dealing with the brain changes, Branding changes. exerto effect retention. we can reparate there two phases of the process phenomenan: There are these two aspects of conditioning the that can be dis attended to produce the reorganization process, and the tissue changes on returnion. by which the reorganization is consolidated and retained. in this regard .

The effect of electroconvulsive shock is of interest for brain the first electroconvulsive storms wipe out temporary or recent learning, i.e., of trials made up to a half hour or so before the ECS, but do not re eradicate the more permanent trace effects.

p. 211, line 1:

SPERRY: Yes.

p. 211, line 12:

independent of SPERRY: Yes, it is particularly relevant to the problem of the engram Toeurreng raffeed lere will and its nature. Another point illustration the dynamic reorganization surjects (A) I the shown that with Freedil one Experiments in human conditioning the unal conditions, will be response that required some trials to establish was performed

p. 211 (cont'd)

on the very first trial with no training when the subjects given a full understanding of what to expect in the conditioning procedure.

p. 211, line 21:

replace lu SPERRY: Yes, this man. The effect of intervening activity between

trials is important. Experiments have been done in which the attempt has been made to wipe out all intervening activity that might tend to obliterate the traces of preceding trials. The question is whether

you get better retention under these conditions, and the believe this

with respect to With respect a There is also the data on decorticate and spinal conditioning that noting I think we have mentioned only briefly. It is worth remembering here that fishes show excellent learning and retention after removal of the entire forebrain.

In this connection Dr. Arara in our laboratory has recently confirmed this. in fishes Dalso that a visual discrimination can be retained after complete section memory traces This and regeneration of the optic nerve showing that the new connections or engrams traces are not rigidly for directly connected to the sensory input chana certain amount of nels. There probably is seppe reshuffling of optic fiber connections in infer that generated the brain as a result of regeneration. We think the fibers get back pretty close to the same cells, but probably do not reestablish exactly the same synaptic terminals. Thatever the degree of synaptic realrangement it does not disturb reactination of the engram.

p. 212, line 7:

SPERRY: Yes. These are color and also acuity discrimination habits. The findings show not only that memory for the habit is retained, but also that color perception is trestored after regeneration in its original

The restored visual acuity also approximates clisely that of the

with much the existence of another dimension of among the optic fibers feetys/associated upon the topical specy directionality

NASSAU TAVERN HOTEL

PALMER SQUARE



PRINCETON. N. J.

Motor aquent our animal well easily and sportaneously substitute for the conditioned respons expoure of the returation, good is schiefed thereby , continuous tor readjustment of this kind & the learning Comotor skells. I don't recall any mention of the instrumental conditioning his difficult to account for with any theory that portulates the weating of connections between CS and CR centers. The observation in this case is that an arumal will ..

In this connection Que turnster why the impulses generated by electroconnulsine traces problement and the state of the state generalled in organized activity. I don't know intuely france that ECS treatments does gradually wear the frame for blankness and described into the brain which into the brain which in time with the flanguage compete in stability with the flanguage established engram systems. On this regard of like to picture I. (3)

(Juser L S) engram formation: first, a transient disturbance or ship of excitatory threshold that tends to have recover more or less and recently a metabolic factor that to constantly metabolic factor that to constantly to has little effect and four that the blaces gree, Aprocess, his law has little effect and four that internals of less than 20 respectes or so. The perfect replication within the engran structure that the proceed in the metabalis turnaver throughout a human lifetime is always a source of anagement and may be indicating of the kind of physics-chemical structure to Bak for in the engrave. In atternative trace system Alexiet to michica series little of no mitabalie turnover.

p. 212 (cont'd) that most of the severed optic across must succeed in successful the severed optic across must succeed in successful. The severed optic across functions.

normal fish. The opening of the corpus callosum work that Myers and I (J)

stablished with similatoral in put have been doing shows that the memory trace system is set up not only

on one hemisphere, but that there is a duplicate set of traces set up

min the corpus callosum cortex on the trained side, or in the opposite hemisphere). You can cut out the whole trained homisphere and you find that the memory survives of the corpus and you find that the memory survives of the corpus the opposite hemisphere.

Well, there are various other --

SPERRY: I think the property of the say that we know of engrams. no irrelevant or external agent, that can wipe out the states. Temperature changes, magnetic fields, electric currents, drugs we have nothing as yet, excepting just the normal nerve impulse can put them in an ellected and possibly can wipe them out. (This latter remains a question, i.e., whether or not impulses can activly wipe out the temporary connections.)

Branksmarskix (xxxxx) A modification of Pavlov's theory has been proposed by Kornorski (K) in which he suggests that stimulus has both a gnostic, high-level, effect and a affective motivational component, and that the new connections are formed between the gnostic center of the conditioned stimulus and the affective center of the natural reflex. Dr. Liddell has mentioned that he thinks no good brain theory has been suggested to replace Pavlov's, or at least, nothing adequate, and I suppose most of us will agree that there has been no really sub-

between the conditioned stimulus and response centers; at least, to the effect that they must be more complex than the direct transcortical link-ages proposed by Pavlov, that they probably involve subcortical centers,

nert

p. 212 (contid) find of

and that possibly reverberatory activity is important in the earlier stages (L). Some years ago (M) I stuck my neck out to suggest that the conditioned reflex does not depend upon the establishment of any type of traces or connections between the two brain centers, but between conditioned stimulus and response that the association is a purely functional one and is effected in quite a different way, which is too long a story to go into now. — Briefly, the suggestion is that the braces of preceding conditioning trials are responsible for the arousal of an 'expectancy' of what is in the conditioning situation. coming in instrumental conditioning, this leads to the establishment of a preparational facilatory set. The excitations of the signal the little stimulus, then, are routed into the pathways of the CR by the existing pattern of facilitation and inhibition imposed by this transient facil-Without this rehence atory set. There is no need to search for 'connections' established between conditioned stimulus and response centers, as has been almost universally assumed, because there are none there. There is only an of these (presintent) parthusys evanescent opening or facilitation in them contioning situation of already leading to the conditioned response. existing pathwaye. The permanent traces that lead to arousal of the expactancy and preparatory set may be extremely complex and diffused and are tied not particularly to the specific CS, but to countless

Well, this is probably enough for now, and others can perhaps,

and issues relevant & the brain mechanism, that I wanted

A in the back of our minds of considering the new data from the implanted

electrode studies.

line 15: SPERRY: Dr. Gantt, if you record heart rate and respiratory rate, don't these appear in both instrumental and in classical conditioning, and don't they appear prior to the specific conditioned such as salivational leg flexion?

sperry: Yes, and I was thinking here of these early visceral effects of indications a common basis for both types of conditioning. It may be that the classical is somewhat simpler than the instrumental, because, in the instrumental, the animal has to learn not only what to expect from the signal stimulus, but also what kind of reaction to make to best handle the situation; whereas under the conditions of classical conditioning, the animal needs only to learn what the signal conditioning, the animal needs only to learn what the signal stimulus because and the impropriate response comes naturally anticopatory with no further learning being necessary.

p. 222

line 10: SPERRY: Perhaps it is worth emphasizing that literally thousands of studies have been made since the first demonstration of the conditioned reflex in attempts to solve this seemingly simple phenomenon, and that the thing has turned out to be worse than a Chinese puzzle, the solution to which we are still not even close

to a good half century later. In this short meeting, I suspect inspects affective we can't affective anjencyclopedic coverage but will have to be selective, trying to pick out those things that really bear on the brain problem, and especially trying to point up some of the more critical issues that remains the date, on which the implanted electrode data may soon bring new insight.

p. 239

line 32+35: SPERRY: delete this (whole) passage.

p. 243

line 25 SPERRY: Isn't there are chance that the motor stimulus is evoking a somatic sensation a tingling of some sort in the paw or leg that is lefted?

line 29: SPERRY: So you may be dealing with two sensations in close conceinted succession, which have also associated the affirm involuntary raising of the leg.

of mental associations in man, one could find tramples of associated associations in man, one could find tramples of associated associations in man, one could find tramples of associated abundances, images, and conditioned illusions and halfucinations. We also p. 245

Phe technique the is to pair two stimuli repeatedly then line 6:

Spenny there have been demonstrations of sensory sensory then condition a response to

) pacts ser les There have been reports sof serrory serrory or so-called Prof. 6 sensory preconditioning manuals. & pair represently has relimelling and then condition a response to the second one. Afterward it is found that the feret some of the paired climali, total never was hered in conditioning the response, wirel, by treef, enable the conditioned reflex. In man, as youknow, there are numerous studies dealing with the acquieition of mental associations of viarious kinds nemer and marinings also it has been shown that by present much anaproped suscaling by a metal other referry offers being simmation can be purped by a for the free inch conditioning precedures we can get a sign atimulus to enache rendery illurious and Kallucius The select

line 18: SPERRY: This must mean, then, that the cerebellar stimulation you mentioned earlier way have been evoking a sensation independently of the feed back from the forced movement.

p. 250

line 5:

another paint experience rate in conditioning: Dr. Doty, before we leave the subject, I believe that the so called "latent learning" which Dr. Olds referred to earlier is sometimes cited to indicate that motivation may not be necessary for the establishment of new linkages w learning and conditioning. There is also a lot of seemingly unmotivated, "incidental" learning that is cited in as is also severy-severy conditioning. the same connection. As I recall it, there is one school of thought that claims that any two excitation processes occurring contiguously in the brain tend to become associated regardless of any reinforcing reward or motivational value, and another underlying drive and A 2 PAS NO COM school that believes new linkages are not formed in the absence of some kind of reward which, of course, implies motivation The question is still open. Also open is the related question of whether it is necessary in conditioning that the stimuli employed register centrally as sensation in subjective awareness. This, of course, is a poetty, but I suspect not a pseudo on not an gas many manuface, unimportant problem second to the central mechanism. re getting closes and closes.

Tures by

" so I sin included to think that the facitive and negative facitive and begative fullback hypters - motivation, operating on high level peritine and negative feedback septems, the basic centers for which are being so nicely delineated in the relf-stringlet methods of Dr. Older and others, courtaintly directs behavior, unlearned as well as learned. Its obviou importance in conditioning may be only an indirect one with respect to enter formation and reactivitien of the engrance, i.e. it may select for adaptive configurates against novedaptive ones I y you see what of mean. In any case the gestetten is still mide open. clus open is...

It would be easy to put in a piece of polyethylene SPERRY: line 6: sponge and stimulate it.

SPERRY: delete this remark. line 10:

line 23-35 SPERRY: delete this whole passage.

SPERRY: Did I understand you correctly to the effect that a line 27: locus in the caudate previously neutral was changed into an avoidance locus by conditioning procedure? How long did that alteration survive?

line 30-33: Delete this whole passage.

p. 290

line 4-5: SPERRY: Delete this passage.

line 14: SPERRY: I would object to that, Bob, but go ahead.

line 18: SPERRY: Yes, but not between the two response or stimulus points.

line 22: SPERRY: That's getting pretty safe, but I think I still object. Special completions. But let's object. go on.

p. 326

line 20:29: SPERRY: Delete this whole passage.

line 31: SPERRY: Delete this remark.

line 35: SPERRY: Delete this remark.

p. 327

line 18: SPERRY: Would there be a heart-rate conditioning evident by this time, or a respiratory change?

THE RESIDENCE OF THE PARTY OF T

line 22: SPERRY: Delete this remark.

line 29 SPERRY: delete this remark.

p. 331

line 27: SPERRY: Does the normal monkey do any blinking with these water the continue?

line 30: SPERRY: delete this remark.

line 33: SPERRY: delete this remark.

p. 332

line 1: SPERRY: I was not thinking of artefacts, but of some kind of central component of a protective flinching or blinking reaction. Is there no indication of such a response?

25%

line 6: SPERRY: I'm wondering about the source of such a rhythm whether it's a purely sensory central effect or involves a more complicated system with perhaps motor and peripheral components.

P. 332

3 to 11 face

line 10: SPERRY: The 3 per second rhythm.

p. 335

line 20-23: SPERRY: Delete this remark, assage.

p. 367

line 17: SPERRY: Do you have any guess as to what system is mediating the repetitive response in this case?

p. 370

line 18: SPERRY: Is there any chance that there is some uncontrolled pairing with something like your reaching for a light switch, or something of the kind?

line 23: SPERRY: Completely isolated, and no consistent timing that the cat might anticipate?

p. 371

line 13: SPERRY: How did you define that difference between expectancy and conditioned response?

line 14: I wish I could remember correctly how I got on that list (laughter); I think that it goes back to a pre-coffee-break presentation --

line 19:

Thirting back
In many back, I believe I was concerned about the SPERRY: distinction that Dr. Olds was making between expectancy and I think it's worth a further comment because conditioning. some of us believe that the formation of an expectancy -or should I say the neural correlate thereof -- is the basic The animal learns what to expect factor in conditioning. from the signal stimulus in the conditioning set-up, and prepares to respond accordingly. This is important from the theoretical standpoint because it directs your thinking away from the almost universal assumption that the temporary connections, or engrams, must be laid down in some form between the conditioned stimulus center and the conditioned response center. This is why I objected yesterday to the statement, even in same such connection qualified form, that is what we are looking for.

p. 417

line 33-35: SPERRY: Delete this remark.

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Captions for Figures Figure 1. Photograph of a split brain preparation exhaus l'eartical remnant in right Remisphere (A) the mediated retention and new learning of somesthetic discreminations performed by left pan. Subsequent reciprocal lexisting on left, should better in leteral view (B), abeliabled all but a botrace of discrementary performance with right pours. I heursphyride. (hi preu). (without impairing that of left paw) Figure 2. Sketch illustrating use of split brain in markey to study yerreptual integration between resear and sometheris.